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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

NPI001US

First Inventor

Lachlan Everett Hall

Title

BRIDGED DIARYLPOLYMETHINE CHROMOPHORES

Express Mail Label No.

K121367955645

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **93**]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **47**]
5. Oath or Declaration [Total Pages **3**]  
a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)  
b. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  
c. ☐ Application Data Sheet, See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s)) ✓  
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney  
11. ☐ English Translation Document (if applicable)  
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  
13. ☐ Preliminary Amendment  
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16. ☐ Other: .....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)of prior application No.: 09, 693,301

Prior application information:

Examiner

Group / Art Unit: 2622

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

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or ☐ Correspondence address below

(Insert Customer No. or Attach our code label here)

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Signature

Date August 7, 2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 435

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Lachlan Everett Hall
Examiner Name	
Group Art Unit	
Attorney Docket No.	NPI001US

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number
- Deposit Account Name
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

1. BASIC FILING FEE				
Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
101	710	201	Utility filing fee	355
106	320	206	Design filing fee	
107	490	207	Plant filing fee	
108	710	208	Reissue filing fee	
114	150	214	Provisional filing fee	
SUBTOTAL (1) (\$)				355

2. EXTRA CLAIM FEES			
Total Claims	Extra Claims	Fee from below	Fee Paid
7	-20** =	X 9	0
2	-3** =	X 40	0
Multiple Dependent			

Large Entity Small Entity				
Fee Code	Fee Code	Fee Code	Fee Description	
103	18	203	Claims in excess of 20	
102	80	202	Independent claims in excess of 3	
104	270	204	Multiple dependent claim, if not paid	
109	80	209	** Reissue independent claims over original patent	
110	18	210	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)				0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

3. ADDITIONAL FEES				
Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
105	130	205	Surcharge - late filing fee or oath	
127	50	227	Surcharge - late provisional filing fee or cover sheet	
139	130	139	Non-English specification	
147	2,520	147	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	Requesting publication of SIR after Examiner action	
115	110	215	Extension for reply within first month	
116	390	216	Extension for reply within second month	
117	890	217	Extension for reply within third month	
118	1,390	218	Extension for reply within fourth month	
128	1,890	228	Extension for reply within fifth month	
119	310	219	Notice of Appeal	
120	310	220	Filing a brief in support of an appeal	
121	270	221	Request for oral hearing	
138	1,510	138	Petition to institute a public use proceeding	
140	110	240	Petition to revive - unavoidable	
141	1,240	241	Petition to revive - unintentional	
142	1,240	242	Utility issue fee (or reissue)	
143	440	243	Design issue fee	
144	600	244	Plant issue fee	
122	130	122	Petitions to the Commissioner	
123	50	123	Petitions related to provisional applications	
126	240	126	Submission of Information Disclosure Stmt	
581	40	581	Recording each patent assignment per property (times number of properties)	80
146	710	246	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	Request for Continued Examination (RCE)	
169	900	169	Request for expedited examination of a design application	
Other fee (specify) _____				
SUBTOTAL (3) (\$)				80

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Name (Print/Type)	Kia Silverbrook	Registration No. (Attorney/Agent)	
Signature		Telephone	+61-2-9818-6633
		Date	August 7, 2001

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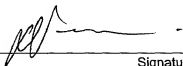
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**Certificate of Mailing under 37 CFR 1.8**

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Washington, D.C. 20231

on 10 AUGUST 2001  
Date



Signature

ANDREW T R NEWMAN

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Submitted herewith Utility Patent Application Transmittal and enclosures for an invention entitled "BRIDGED DIARYLPOLYMETHINE CHROMOPHORES (Docket No. NPI001US).

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